# Exhibit 5

# UNITED STATES DISTRICT COURT SOUTHERN DISTRICT OF NEW YORK

FEDERAL TRADE COMMISSION and

THE PEOPLE OF THE STATE OF NEW YORK, by LETITIA JAMES, Attorney General of the State of New York,

Plaintiffs,

v.

QUINCY BIOSCIENCE HOLDING COMPANY, INC., a corporation;

QUINCY BIOSCIENCE, LLC, a limited liability company;

PREVAGEN, INC., a corporation d/b/a/ SUGAR RIVER SUPPLEMENTS;

QUINCY BIOSCIENCE MANUFACTURING, LLC, a limited liability company; and

MARK UNDERWOOD, individually and as an officer of QUINCY BIOSCIENCE HOLDING COMPANY, INC., QUINCY BIOSCIENCE, LLC, and PREVAGEN, INC.,

Defendants.

Case No. 1:17-cv-00124-LLS

# **DEFENDANTS' LIST OF WITNESSES AND TRIAL REFERENCES**

Pursuant to Section 4.B.2 of this Court's Individual Practices and the Orders of this Court dated November 23, 2021 and August 2, 2022, Defendants Quincy Bioscience Holding Company, Inc., Quincy Bioscience, LLC, Prevagen, Inc. d/b/a Sugar River Supplements, Quincy Bioscience Manufacturing, LLC, and Mark Underwood hereby submit their list of individuals or companies that may appear as witnesses or otherwise be referred to during the trial of this Action.

### Individuals

- 1. Dominik D. Alexander, PhD, MSPH
- 2. Teri Barr
- 3. Michael Beaman
- 4. Howard Beales, III, PhD
- 5. Jeremy Berg, PhD
- 6. Geoffrey (Jeff) Castello, Esq.
- 7. Juan Collins
- 8. Michael (Mike) de Leeuw, Esq.
- 9. William (Will) Ducklow
- 10. Tom Dvorak
- 11. John Fowler
- 12. Taylor Gabourie
- 13. Edward Glennon
- 14. Richard E. Goodman, PhD
- 15. David Gortler, Pharm. D
- 16. Glenn Graham, Esq.
- 17. Caitlin Hickey, Esq.
- 18. David Katz, MD, MPH
- 19. Joseph M. Kaye, Esq.
- 20. Mindy S. Kurzer, PhD
- 21. Kenneth (KC) Lerner
- 22. Peter Malaspina, PhD
- 23. Kate Matuschak, Esq.
- 24. Norton Milgram, PhD
- 25. James Moyer, Jr., PhD
- 26. Dakota Miller
- 27. Jaclyn Metzinger, Esq.
- 28. Daniel Moran, PhD
- 29. Daniel Moran
- 30. Adam M. Moskowitz, Esq.
- 31. Todd Olson
- 32. John (Jack) Scarola, Esq.
- 33. Michelle Rusk, Esq.
- 34. Mary Sano, PhD
- 35. David H. Schwartz, PhD
- 36. Peggy Sivesind
- 37. Annette Soberats, Esq.
- 38. Mark Underwood
- 39. John Villafranco, Esq.
- 40. Lee-Jen Wei, PhD
- 41. Tamar Wise, Esq.
- 42. Janet Wittes, PhD
- 43. Andrew Wone, Esq

# **Entities**

- 1. Amin Talati Wasserman
- 2. Amin Talati & Upadhye
- 3. CogState
- 4. Cozen O'Connor
- 5. Federal Trade Commission (FTC)
- 6. Food and Drug Administration (FDA)
- 7. Kelley Drye & Warren LLP
- 8. Office of the Attorney General of the State of New York
- 9. Prevagen, Inc. d/b/a Sugar River Supplements
- 10. Quincy Animal Health LLC
- 11. Quincy Bioscience Holding Company, Inc.
- 12. Quincy Bioscience, LLC
- 13. Quincy Bioscience Manufacturing, LLC
- 14. Searcy Denney Scarola, Barnhart & Shipley
- 15. The Moskowitz Law Firm
- 16. University of Wisconsin

Dated: November 17, 2022 KELLEY DRYE & WARREN LLP

## By: /s/ Geoffrey W. Castello

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#### COZEN O'CONNOR P.C.

### By: /s/ Michael de Leeuw

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Counsel for Defendant Mark Underwood